

CFTC FORM 1-FR-FCM

0005

Name of Company: AMP Global Clearing LLC	0010	Employer ID No: 27-0273485	0020	NFA ID No: 0412490	0030
Address of Principal Place of Business: 221 N.LaSalle St. 25th Floor Chicago IL 60601	0050	Person to Contact Concerning This Report: Daniel Culp			
		Telephone No:	312 893 7700	0060	
		E-Mail Address:	dan@ampclearing.com	0065	

1. Report for the period beginning 03/01/2026 0070 and ending 03/31/2026 0080
2. Type of report 0090  Certified  Regular quarterly/semiannual  Monthly 1.12(b)  
 Special call by:  Other -- Identify:
3. Check whether 0095  Initial filing  Amended filing
4. Name of FCM's Designated Self-Regulatory Organization: CME 0100
5. Name(s) of consolidated subsidiaries and affiliated companies:

Name	Percentage Ownership	Line of Business
_____	0.00	_____
_____	0.00	_____
_____	0.00	_____
_____	0.00	_____
_____	0.00	_____

The futures commission merchant, or applicant for registration therefor, submitting this Form and its attachments and the person whose signature appears below represent that, to the best of their knowledge, all information contained therein is true, correct and complete. It is understood that all required items, statements and schedules are integral parts of this Form and that the submission of any amendment represents that all unamended items, statements and schedules remain true, correct and complete as previously submitted. It is further understood that any intentional misstatements or omissions of facts constitute Federal Criminal Violations (see 18 U.S.C. 1001).

Signed on 04/23/2026  
 Manual signature Electronically submitted through WinJammer  
 Type or print name Daniel Culp,

- Chief Executive Officer  Chief Financial Officer Corporate Title \_\_\_\_\_  
 General Partner  Sole Proprietor

AUTHORITY: Sections 4c, 4d, 4f, 4g, 5a, 8a, and 17 of the Commodity Exchange Act (7 U.S.C. 6c, 6d, 6f 6g, 7a, 12a and 21)

Name of Company: AMP Global Clearing LLC	Employer ID No: 27-0273485	NFA ID No: 0412490
---	-------------------------------	-----------------------

CFTC FORM 1-FR-FCM  
STATEMENT OF FINANCIAL CONDITION  
AS OF 3/31/2026

Assets

	<u>Current</u>		<u>Non-Current</u>		<u>Total</u>	
1. Funds segregated or in separate accounts pursuant to the CEAct and the Regulations						
A. U.S. exchanges (page 11, line 13)	\$ 111,762,429	1000			\$ 111,762,429	1005
B. Dealer options (page 12, line 2.C.)	0	1010			0	1015
C. Foreign exchanges (page 14, line 8)	1,875,478	1020	\$ 0	1025	1,875,478	1030
D. Cleared Swaps (page 15, line 13)	0	1022	0	1027	\$ 0	1032
(Do not duplicate line 1. assets below)						
2. Cash	210,203	1040	0	1045	210,203	1050
3. Securities, at market value						
A. Firm owned	0	1055	0	1060	0	1065
B. Noncustomer-owned	0	1070			0	1075
C. Individual partners' and member's security accounts	0	1090			0	1095
D. Stock in clearing organizations	0	1100	0	1105	0	1110
4. Securities purchased under resale agreements	0	1115	0	1120	0	1125
5. Receivables from and deposits with U.S. derivatives clearing organizations						
A. Margins	0	1130			0	1135
B. Settlement receivable	0	1140			0	1145
C. Guarantee deposits	1,693,267	1150			1,693,267	1155
D. Long options value	0	1157			0	1158
6. Receivables from and deposits with foreign commodity clearing organizations						
A. Margins	0	1160	0	1165	0	1170
B. Settlement receivable	0	1175			0	1180
C. Guarantee deposits	0	1182	0	1185	0	1190
D. Long options value	0	1191	0	1192	0	1193
7. Receivables from registered FCMs						
A. Net liquidating equity	0	1195	0	1200	0	1205
B. Security deposits			0	1210	0	1215
C. Other	0	1220	0	1225	0	1230
8. Receivables from foreign commodity brokers						
A. Net liquidating equity	0	1235	0	1240	0	1245
B. Security deposits			0	1250	0	1255
C. Other	0	1260	0	1265	0	1270

9.	Receivables from traders on U.S. commodity exchanges						
A.	Customer debit and deficit accounts	0	1275	380,217	1280	380,217	1285
B.	Noncustomer and proprietary accounts	0	1290	0	1295	0	1300
C.	Other	0	1305	0	1310	0	1315
D.	Allowance for doubtful accounts			0	1320	0	1325
10.	Receivables from traders on foreign boards of trade						
A.	Customer debit and deficit accounts	0	1330	4,920	1335	4,920	1340
B.	Noncustomer and proprietary accounts	0	1345	0	1350	0	1355
C.	Other	0	1360	0	1365	0	1370
D.	Allowance for doubtful accounts			0	1375	0	1380
11.	Inventories of cash commodities, raw materials, work in progress and finished goods						
A.	Covered	0	1385	0	1390	0	1395
B.	Not covered	0	1400	0	1405	0	1410
12.	Secured demand notes (Value of collateral \$0 [1415] Safety factor \$0 [1420] )	0	1425	0	1430	0	1435
13.	Other receivables and advances						
A.	Merchandising accounts receivable	0	1440	0	1445	0	1450
B.	Notes receivable	0	1455	0	1460	0	1465
C.	Commissions and brokerage receivable	0	1470	0	1475	0	1480
D.	Receivables from employees and associated persons	0	1485	0	1490	0	1495
E.	Advances on cash commodities	0	1500	0	1505	0	1510
F.	Dividends and interest	20,531	1515	0	1520	20,531	1525
G.	Taxes receivable	0	1530	0	1535	0	1540
H.	Receivables from subsidiaries and affiliates	0	1545	0	1550	0	1555
I.	Other (Itemize on a separate page)	33,036	1560	0	1565	33,036	1570
J.	Allowance for doubtful accounts			0	1575	0	1580
14.	Unrealized gains on forward contracts and commitments	0	1585	0	1590	0	1595
15.	Exchange memberships, at cost (Market value \$2,045,000 [1600])			3,559,800	1605	3,559,800	1610
16.	Investments in subsidiaries	0	1612	0	1615	0	1620
17.	Plant, property, equipment and capitalized leases (cost net of accumulated depreciation and amortization of \$523,232 [1625] )	0	1630	359,055	1635	359,055	1640
18.	Prepaid expenses and deferred charges			398,696	1645	398,696	1650
19.	A. Other assets (itemize on separate page)	0	1655	0	1660	0	1665
	B. Retail Forex Aggregate Assets	0	1657	0	1662	0	1667
20.	Total Assets	\$ 115,594,944	1670	\$ 4,702,688	1675	\$ 120,297,632	1680

Name of Company: AMP Global Clearing LLC	Employer ID No: 27-0273485	NFA ID No: 0412490
---	-------------------------------	-----------------------

CFTC FORM 1-FR-FCM  
STATEMENT OF FINANCIAL CONDITION  
AS OF 3/31/2026

Liabilities & Ownership Equity

Liabilities

21. Payables to banks		
A. Secured loans	\$ 0	2000
B. Unsecured loans	0	2010
C. Overdrafts	0	2020
22. Equities in commodity accounts		
A. Customers trading on U.S. commodity exchanges	91,238,427	2030
B. Customers trading on foreign exchanges	1,491,089	2040
C. Customers' dealer options accounts	0	2050
D. Noncustomers' accounts	0	2060
E. General partners' and member's trading accounts (not included in capital)	0	2070
F. Customers trading cleared swaps	0	2072
23. Payable to U.S. commodity clearing organizations Including short option value of \$0 [2075]	0	2080
24. Payable to foreign commodity clearing organizations Including short option value of \$0 [2085]	0	2090
25. Payable to registered futures commission merchants	0	2100
26. Payable to foreign commodity brokers	0	2110
27. Accounts payable, accrued expenses and other payables		
A. Accounts payable and accrued expenses	3,282,973	2120
B. Salaries, wages, commissions and bonuses payable	0	2130
C. Taxes payable	0	2140
D. Deferred income taxes	0	2150
E. Security deposits held	0	2160
F. Advances against commodities	0	2170
G. Unrealized losses on forward contracts and commitments	0	2180
H. Due to subsidiaries and affiliates	0	2190
I. Notes, mortgages and other payables due within twelve months	0	2200
J. Obligation to Retail FX Customers	0	2205
K. Other (itemize on a separate page)	108,195	2210
28. Notes, mortgages and other payables not due within twelve months of the date of this statement		
A. Unsecured	0	2220
B. Secured	0	2230

29. Securities sold under agreements to repurchase	0	2240
30. Securities sold not yet purchased, at market value	0	2250
31. Liabilities subordinated to claims of general creditors		
A. Subject to a satisfactory subordination agreement	0	2260
B. Not subject to a satisfactory subordination agreement	0	2270
32. Total liabilities	<u>\$ 96,120,684</u>	2280

Ownership Equity

33. Sole proprietorship	<u>\$ 0</u>	2500		
34. Partnership or Limited Liability Company				
A. Partnership or LLC contributed and retained capital	<u>\$ 24,176,948</u>	2510		
B. Additional capital per partnership or membership agreement (equities in partners' or members' trading accounts, etc.)	<u>0</u>	2515		
C. Total	<u>\$ 24,176,948</u>	2520		
35. Corporation				
A. Preferred stock	<u>\$ 0</u>	2530		
B. Common stock	<u>0</u>	2535		
C. Additional paid in capital	<u>0</u>	2540		
D. Retained earnings	<u>0</u>	2545		
E. Subtotal	<u>\$ 0</u>	2550		
F. Less: capital stock in treasury	<u>0</u>	2555		
G. Total	<u>\$ 0</u>	2560		
36. Total ownership equity (line 33, 34.C. or 35.G)			<u>\$ 24,176,948</u>	2570
37. Total liabilities and ownership equity (add lines 32 and 36)			<u>\$ 120,297,632</u>	2580

Name of Company: AMP Global Clearing LLC	Employer ID No: 27-0273485	NFA ID No: 0412490
---	-------------------------------	-----------------------

CFTC FORM 1-FR-FCM  
STATEMENT OF THE COMPUTATION OF THE MINIMUM CAPITAL REQUIREMENTS  
AS OF 3/31/2026

Net Capital

1.	Current assets (page 3, line 20)			\$ 115,594,944	3000
2.	Increase/(decrease) to U.S. clearing organization stock to reflect margin value			0	3010
3.	Net current assets			\$ 115,594,944	3020
4.	Total liabilities (page 5, line 32)	\$ 96,120,684	3030		
5.	Deductions from total liabilities				
A.	Liabilities subject to satisfactory subordination agreements (page 5, line 31.A)	\$ 0	3040		
B.	Certain deferred income tax liability (see regulation 1.17(c)(4)(iv))	0	3050		
C.	Certain current income tax liability (see regulation 1.17(c)(4)(v))	0	3060		
D.	Long term debt pursuant to regulation 1.17(c)(4)(vi)	0	3070		
E.	Total deductions (add lines 5.A. - 5.D.)		0	3080	
F.	Adjusted liabilities (subtract line 5.E from line 4)			96,120,684	3090
6.	Net capital (subtract line 5.F. from line 3)			\$ 19,474,260	3100

Charges Against Net Capital (see regulation 1.17(c)(5))

7.	Excess of advances paid on cash commodity contracts over 95% of the market value of commodities covered by such contracts			\$ 0	3110
8.	Five percent (5%) of the market value of inventories covered by open futures contracts or commodity options (no charges applicable to inventories registered as deliverable on a contract market and which are covered by futures contracts)			0	3120
9.	Twenty percent (20%) of the market value of uncovered inventories or lesser percentage charge for uncovered balances in specified foreign currencies			51,518	3130
10.	Ten percent (10%) of the market value of commodities underlying fixed price commitments and forward contracts which are covered by open futures contracts or commodity options			0	3140
11.	Twenty percent (20%) of the market value of commodities underlying fixed price commitments and forward contracts which are not covered by open futures contracts or commodity options			0	3150

12. Charges as specified in section 240.15c3-1(c)(2)(vi) and (vii) against securities owned by firm, including securities representing investments of domestic and foreign customers' funds:

	<u>Market Value</u>		<u>Charge</u>	
A. U.S. and Canadian government obligations	\$ 17,273,086	3160	\$ 86,365	3170
B. State and Municipal government obligations	0	3180	0	3190
C. Certificates of deposit, commercial paper and bankers' acceptances	0	3200	0	3210
D. Corporate obligations	0	3220	0	3230
E. Stocks and warrants	0	3240	0	3250
F. Other securities	0	3260	0	3270
G. Total charges (add lines 12.A. - 12.F.)			86,365	3280

13. Charges as specified in section 240.15c3-1(c)(2)(iv)(F)

A. Against securities purchased under agreements to resell	0	3290
B. Against securities sold under agreements to repurchase	0	3300

14. Charges on securities options as specified in section 240.15c3-1, Appendix A

	0	3310
--	---	------

15. Undermargined commodity futures and commodity options accounts - amount in each account required to meet maintenance margin requirements, less the amount of current margin calls in that account and the amount of any noncurrent deficit in the account

A. Customer accounts	1	3320
B. Noncustomer accounts	0	3330
C. Omnibus accounts	0	3340

16. Charges against open commodity and cleared OTC derivatives positions in proprietary accounts

A. Uncovered exchange-traded futures, cleared OTC derivatives positions and granted options contracts		
i percentage of margin requirements applicable to such contracts	\$ 0	3350
ii Less: equity in proprietary accounts included in liabilities	0	3360
		0
		3370

B. Ten percent (10%) of the market value of commodities which underlie commodity options not traded on a contract market carried long by the applicant or registrant which has value and such value increased adjusted net capital (this charge is limited to the value attributed to such options)		0	3380
---	--	---	------

C. Commodity options which are traded on contract markets and carried long in proprietary accounts. Charge is the same as would be applied if applicant or registrant was the grantor of the options (this charge is limited to the value attributed to such options)		0	3390
---	--	---	------

D. Haircuts on swaps and security-based swaps pursuant to 1.17(c)(5)(iii), (iv), (xv), and (xvi) (itemize to the subparagraph level on separate page)		\$0	3395
---	--	-----	------

17. Five percent (5%) of all unsecured receivables from foreign brokers

	0	3410
--	---	------

18. Deficiency in collateral for secured demand notes

	0	3420
--	---	------

19. Adjustment to eliminate benefits of consolidation (explain on separate page)

	0	3430
--	---	------

20. Total charges (add lines 7 through 19)

	\$ 137,884	3440
--	------------	------

Net Capital Computation

21. Adjusted net capital (subtract line 20 from line 6)		<u>\$ 19,336,376</u>	3500
22. Net capital required			
A. Risk Based Requirement			
i. Amount of Customer Risk Maintenance Margin	<u>\$ 3,028,561</u>		3515
ii. Enter 8% of line 22.A.i		<u>\$ 242,285</u>	3525
iii. Amount of Non-Customer Risk Maintenance Margin	<u>\$ 0</u>		3535
iv. Enter 8% of line 22.A.iii		<u>\$ 0</u>	3545
v. Enter the sum of 22.A.ii and 22.A.iv		<u>\$ 242,285</u>	3555
vi. Total Uncleared Swap Margin, as applicable		<u>\$ 0</u>	3556
vii. Enter 2% of line 22.A.vi		<u>\$ 0</u>	3557
viii. Enter the sum 22.A.v and 22.A.vii		<u>\$242,285</u>	3558
B. Minimum Dollar Amount Requirement		<u>\$ 5,000,000</u>	3565
C. Other NFA Requirement		<u>\$ 0</u>	3575
D. Enter the greater of lines 22.A.viii, 22.B. or 22.C.		<u>\$ 5,000,000</u>	3600
23. Excess net capital (line 21 less line 22.D.)		<u>\$ 14,336,376</u>	3610

Computation of Early Warning Level

24. If the Minimum Net Capital Requirement computed on line D (Box 3600) is: \$ 7,500,000 3620

- The Risk Based Requirement, enter 110% of line 22.A.viii. (3558), or
- The Minimum Dollar Requirement of \$1,000,000, for FCMs, or \$20,000,000 for FCMs registered as SDs, enter 150% of line 22.B. (3565), or
- The Minimum Dollar Requirement of \$20,000,000 for FCMs offering or engaging in retail forex transactions or Retail Foreign Exchange Dealers ("RFED"), enter 110% of line 22.B (3565), or
- Other NFA Requirement for FCMs offering or engaging in retail forex transaction or Retail Foreign Exchange Dealers ("RFED"), as calculated on line 11.F (8210) of Exchange Supplementary Schedule, enter 110% of line 22.C. (3575), or
- Any other NFA Requirement, enter 150% of line 22.C. (3575)

This is your early warning capital level. If this amount is greater than the amount on line 21 (3500), you must immediately notify your DSRO and the Commission pursuant to section 1.12 or 5.6, as applicable, of the regulations.

Guaranteed Introducing Brokers

25. List all IBs with which guarantee agreements have been entered into by the FCM and which are currently in effect . 3650  
 See Attached

Name of Company: AMP Global Clearing LLC	Employer ID No: 27-0273485	NFA ID No: 0412490
---	-------------------------------	-----------------------

CFTC FORM 1-FR-FCM  
STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES  
AS OF 3/31/2026

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance				
A. Cash			\$ 91,178,411	5000
B. Securities (at market)			0	5010
2. Net unrealized profit (loss) in open futures contracts traded on a contract market			(348,074)	5020
3. Exchange traded options				
A. Market value of open option contracts purchased on a contract market			27,873	5030
B. Market value of open option contracts granted (sold) on a contract market			0	5040
4. Net equity (deficit) (add lines 1, 2, and 3)			\$ 90,858,210	5050
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 380,217	5060		
Less: amount offset by customer owned securities	0	5070	380,217	5080
6. Amount required to be segregated (add lines 4 and 5)			\$ 91,238,427	5090

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts				
A. Cash			\$ 26,517,843	5100
B. Securities representing investments of customers' funds (at market)			54,124,985	5110
C. Securities held for particular customers or option customers in lieu of cash (at market)			0	5120
8. Margins on deposit with derivatives clearing organizations of contract markets				
A. Cash			5,468,038	5130
B. Securities representing investments of customers' funds (at market)			22,385,673	5140
C. Securities held for particular customers or option customers in lieu of cash (at market)			0	5150
9. Net settlement from (to) derivatives clearing organizations of contract markets			432,371	5160
10. Exchange traded options				
A. Value of open long option contracts			27,873	5170
B. Value of open short option contracts			0	5180
11. Net equities with other FCMs				
A. Net liquidating equity			2,805,646	5190
B. Securities representing investments of customers' funds (at market)			0	5200
C. Securities held for particular customers or option customers in lieu of cash (at market)			0	5210
12. Segregated funds on hand (describe: )			0	5215
13. Total amount in segregation (add lines 7 through 12)			\$ 111,762,429	5220
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)			\$ 20,524,002	5230
15. Management Target Amount Excess funds in segregation			\$ 1,200,000	5240
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess			\$ 19,324,002	5250

Name of Company: AMP Global Clearing LLC	Employer ID No: 27-0273485	NFA ID No: 0412490
---	-------------------------------	-----------------------

CFTC FORM 1-FR-FCM  
 STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS  
 IN SEGREGATION FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS  
 AS OF 3/31/2026

1. Amount required to be segregated in accordance with Commission regulation 32.6		\$ 0	5400
2. Funds in segregated accounts			
A. Cash	\$ 0		5410
B. Securities (at market)	0		5420
C. Total		0	5430
3. Excess (deficiency) funds in segregation (subtract line 1. from line 2.C.)		\$ 0	5440

Name of Company: AMP Global Clearing LLC	Employer ID No: 27-0273485	NFA ID No: 0412490
---	-------------------------------	-----------------------

CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 3/31/2026

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder		\$ 0	5605
1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers		
	A. Cash	\$ 1,482,862	5615
	B. Securities (at market)	\$ 0	5617
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	\$ 3,308	5625
3.	Exchange traded options		
	A. Market value of open option contracts purchased on a foreign board of trade	\$ 0	5635
	B. Market value of open option contracts granted (sold) on a foreign board of trade	\$ 0	5637
4.	Net equity (deficit) (add lines 1, 2, and 3)	\$ 1,486,170	5645
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 4,920	5651
	Less: amount offset by customer owned securities	\$ 0	5652
		\$ 4,920	5654
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$ 1,491,090	5655
7.	Greater of amount required to be set aside to a foreign jurisdiction (above) or line 6.	\$ 1,491,090	5660

Name of Company: AMP Global Clearing LLC	Employer ID No: 27-0273485	NFA ID No: 0412490
---	-------------------------------	-----------------------

CFTC FORM 1-FR-FCM  
STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7  
AS OF 3/31/2026

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in Banks				
A. Banks located in the United States	\$ 0	5700		
B. Other banks qualified under Regulation 30.7				
Name(s): <u>See Attached</u>		5710	935,598	5720
			\$ 935,598	5730
2. Securities				
A. In safekeeping with banks located in the United States	\$ 0	5740		
B. In safekeeping with other banks qualified under Regulation 30.7				
Name(s): <u>_</u>		5750	0	5760
			0	5770
3. Equities with registered futures commission merchants				
A. Cash	\$ 937,198	5780		
B. Securities	0	5790		
C. Unrealized gain (loss) on open futures contracts	2,682	5800		
D. Value of long option contracts	0	5810		
E. Value of short option contracts	0	5815	939,880	5820
4. Amounts held by clearing organizations of foreign boards of trade				
Name(s): <u>_</u>		5830		
A. Cash	\$ 0	5840		
B. Securities	0	5850		
C. Amount due to (from) clearing organization - daily variation	0	5860		
D. Value of long option contracts	0	5870		
E. Value of short option contracts	0	5875	0	5880
5. Amounts held by members of foreign boards of trade				
Name(s): <u>_</u>		5890		
A. Cash	\$ 0	5900		
B. Securities	0	5910		
C. Unrealized gain (loss) on open futures contracts	0	5920		
D. Value of long option contracts	0	5930		
E. Value of short option contracts	0	5935	0	5940
6. Amounts with other depositories designated by a foreign board of trade				
Name(s): <u>_</u>		5950	0	5960
7. Segregated funds on hand (describe): <u>_</u>			0	5965
8. Total funds in separate section 30.7 accounts			\$ 1,875,478	5970
9. Excess (deficiency) Set Aside Funds for Secured Amount (Subtract line 7 Secured Statement Page 1 from line 8)			\$ 384,388	5680
10. Management Target Amount for Excess funds in separate 30.7 accounts			150,000	5980
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target Excess			234,388	5985

**SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II**

**BROKER OR DEALER:**

**as of: 03/31/2026**

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

**Cleared Swaps Customer Requirements**

1.	Net ledger balance			
	A. Cash	\$ 0	8500	
	B. Securities (at market)	0	8510	
2.	Net unrealized profit (loss) in open cleared swaps	0	8520	
3.	Cleared swaps options			
	A. Market value of open cleared swaps option contracts purchased	0	8530	
	B. Market value of open cleared swaps granted (sold)	0	8540	
4.	Net equity (deficit) (add lines 1, 2 and 3)	0	8550	
5.	Accounts liquidating to a deficit and accounts with debit balances			
	- gross amount	\$ 0	8560	
	Less: amount offset by customer securities	0	8570	
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5)	0	8590	

**Funds in Cleared Swaps Customer Segregated Accounts**

7.	Deposited in cleared swaps customer segregated accounts at banks			
	A. Cash	\$ 0	8600	
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8610	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8620	
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
	A. Cash	0	8630	
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8640	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8650	
9.	Net settlement from (to) derivatives clearing organizations	0	8660	
10.	Cleared swaps options			
	A. Value of open cleared swaps long option contracts	0	8670	
	B. Value of open cleared swaps short option contracts	0	8680	
11.	Net equities with other FCMs			
	A. Net liquidating equity	0	8690	
	B. Securities representing investments of cleared swaps customers' funds (at market)	0	8700	
	C. Securities held for particular cleared swaps customers in lieu of cash (at market)	0	8710	
12.	Cleared swaps customer funds on hand (describe: )	0	8715	
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12)	0	8720	
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$ 0	8730	
15.	Management target Amount for Excess funds in cleared swaps segregated accounts	\$ 0	8760	
16.	Excess (deficiency) funds in cleared swaps customer segregation over (under) Management Target Excess	\$ 0	8770	

## **FREEDOM OF INFORMATION ACT ("FOIAct") NOTICE**

Certain statements and schedules in financial reports received by the Commission from futures commission merchants ("FCMs") are considered by the Commission to be a public record, copies of which may be obtained upon written request to the Commission's Freedom of Information, Privacy and Sunshine Acts compliance staff in Washington, D.C. Such financial reports include CFTC Form 1-FR-FCM and Securities and Exchange Commission Financial and Operational Combined Uniform Single Report ("FOCUS report"). Pursuant to Section 1.10(g) of the Commission's regulations, the following portions of these reports will be considered public and be made available pursuant to requests received

- (1) the Statement of Financial Condition;
- (2) the Statement of the Computation of the Minimum Capital Requirements (the schedule of guaranteed introducing brokers that is to be included with this statement in Form 1-FR-FCM will be considered public);
- (3) the Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Commodity Exchanges;
- (4) the Statement of Segregation Requirements and Funds in Segregation for Customers' Dealer Options Accounts;
- (5) the Statement of Secured Amounts and Funds Held in Separate Accounts for Foreign Futures and Foreign Options Customers ;
- (6) supplemental schedules that explain or provide additional details concerning any line item for which a supplemental schedule is specifically called for by the statements set forth in (1) through (5) above; and
- (7) the independent public accountant's opinion, if the report is audited.

These statements will be considered public whether filed in electronic or in hard copy form. All other statements or schedules included in form 1-FR-FCM or the FOCUS report, and notes to audited financial reports will be deemed non-public.

Generally, the Commission may disclose to third parties portions of the "nonpublic" information in the report under the following circumstances:

- (1) in connection with matters in litigation;
- (2) in connection with Commission investigations;
- (3) where the information is furnished to regulatory, self-regulatory and law enforcement agencies to assist them in meeting responsibilities assigned to them by law and

Petitions for confidential treatment filed by an FCM with respect to any of the public information contained in the Form 1-FR-FCM or the FOCUS report will not be processed by Commission staff. However, if the applicant or registrant believes that the placing of any other information submitted on or with its report in the Commission's public files would constitute an unwarranted invasion of the applicant's or registrant's personal privacy or would reveal sensitive business information, the registrant or applicant may petition the Commission to treat such other information as nonpublic pursuant to Section 145.9 in response to requests under the Freedom of Information Act. Section 145.9 of the Commission's regulations affords the applicant or registrant the right to notice and a right to

Name of Company: AMP Global Clearing LLC	Employer ID No: 27-0273485	NFA ID No: 0412490
---	-------------------------------	-----------------------

**CFTC FORM 1-FR-FCM  
EXCHANGE SUPPLEMENTARY INFORMATION**

1. Capital to be withdrawn within 6 months	\$ 0	8000
2. Subordinated Debt maturing within 6 months	\$ 0	8010
3. Subordinated Debt due to mature within 6 months that you plan to renew	\$ 0	8020

If Adjusted Net Capital is less than \$2,000,000 please complete the lines 4 through 7:

4. Number of Associated Persons	0	8100
5. Number of Branch Offices	0	8110
6. Number of Guaranteed Introducing Brokers	0	8120
7. Number of Guaranteed Introducing Broker Branch Offices	0	8130

Futures Commission Merchants and Retail Foreign Exchange Dealers offering off-exchange foreign currency futures and options ("forex") to retail customers

8. Is the firm a registered Futures Commission Merchant ("FCM") that offers to be or acts as a counterparty to retail foreign exchange transactions or a Retail Foreign Exchange Dealer ("RFED")?	No	8135
9. Gross revenue from Forex transactions with retail customers	\$ 0	8140
10. Total net aggregate notional value of all open Forex transactions in retail customer and non-customer (not proprietary) accounts	\$ 0	8150
11. Retail forex related Minimum Dollar Amount Requirement reported in Other NFA Requirement, Box 3575, Statement of Computation of the Minimum Capital Requirements, Line 22.C.		
A. If offering to be or engaging as a counterparty in retail foreign exchange enter \$20 million	\$ 0	8175
B. 5% of all liabilities the Forex Dealer Member ("FDM") owes to customers and eligible contract participant (ECP) counterparties that are not an affiliate of the FDM and are not acting as a dealer exceeding \$10,000,000	0.00	8190
C. 10% of all liabilities the FDM owes to ECP counterparties that are an affiliate of the FDM not acting as a dealer	0.00	8195
D. 10% of all liabilities ECP counterparties that are an affiliate of the FDM and acting as a dealer owe to their customers (including ECPs), including liabilities related to retail commodity transactions as described in 2(c)(2)(D) of the Act	0.00	8200
E. 10% of all liabilities the FDM owes to ECP counterparties acting as a dealer that are not an affiliate of the FDM, including liabilities related to retail commodity transactions as described in 2(c)(2)(D) of the Act	0.00	8205
F. Sum of 11.A. - 11.E.	0.00	8210
12. The aggregate performance bond requirement for all Customer and House accounts containing CME-cleared IRS positions. (Applicable for FCMs and broker-dealers which clear CME-cleared IRS products for customer or house accounts)	\$ 0	8750

**General Comments:**

NFA Financial Requirements Section 16 Information

Leverage

1. Total Assets	\$120,297,632	8800
2. Amount required to be segregated	91,238,427	8810
3. Amount required to be set aside in separate section 30.7 accounts	1,491,090	8820
4. Amount required to be segregated for cleared swaps customers	0	8830
5. US Treasury securities - Long (firm owned)	0	8850
6. US Government agency and government sponsored entities - Long(firm owned)	0	8860
7. Reverse Repos backed by US Treasury securities and US Government agency and government sponsored entities(firm owned)	0	8870
8. Ownership Equity	24,176,948	8880
9. Subordinated Loans	0	8890
10. Leverage	1.14	8900

Depositories

During the month did the firm maintain customer segregated funds at a depository which is an affiliate ?	No	8910
During the month did the firm maintain separate 30.7 funds at a depository which is an affiliate ?	No	8920
During the month did the firm maintain cleared swaps customer collateral at a depository which is an affiliate ?	No	8925

**FCM's Customer Segregated Funds Residual Interest Target (choose one):**

- a. Minimum dollar amount: \$ 1,200,000 8930 ; or
- b. Minimum percentage of customer segregated funds required: 0.00 8940 ; or
- c. Dollar amount range between: 0 8950a and 0 8950b ; or
- d. Percentage range of customer segregated funds required between: 0.00 8960a and 0.00 8960b

**FCM's Customer Secured Amount Funds Residual Interest Target (choose one)**

- a. Minimum dollar amount: \$ 150,000 8970 ; or
- b. Minimum percentage of customer secured funds required: 0.00 8980 ; or
- c. Dollar amount range between: 0 8990a and 0 8990b ; or
- d. Percentage range of customer secured funds required between: 0.00 9000a and 0.00 9000b

**FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one)**

- a. Minimum dollar amount: \$ 0 9010 ; or
- b. Minimum percentage of cleared swaps customer collateral required: 0.00 9020 ; or
- c. Dollar amount range between: 0 9030 and 0 9031 ; or
- d. Percentage range of cleared swaps customer collateral required between: 0.00 9040 and 0.00 9041

**Eligible Contract Participants**

Did the firm act as counterparty to a forex transaction with any Eligible Contract Participants (ECP)?	No	9042
If yes, indicate the number of ECPs that the firm acted as a counterparty to a forex transaction(s).	0	9043

---

Name of Company:	Employer ID No:	NFA ID No:
------------------	-----------------	------------

CFTC FORM 1-FR-FCM  
LISTING OF GUARANTEED INTRODUCING BROKERS